

# Relating two semantic descriptions of functional logic programs

(Work in progress)<sup>1</sup>

F.J. López-Fraguas<sup>2</sup> J. Rodríguez-Hortalá<sup>2</sup> J. Sánchez-Hernández<sup>2</sup>

*Departamento de Sistemas Informáticos y Programación  
Universidad Complutense de Madrid  
Madrid, Spain*

---

## Abstract

A distinctive feature of modern functional logic languages like Toy or Curry is the possibility of programming non-strict and non-deterministic functions with call-time choice semantics. For almost ten years the CRWL framework [6,7] has been the only formal setting covering all these semantic aspects. But recently [1] an alternative proposal has appeared, focusing more on operational aspects. In this work we investigate the relation between both approaches, which is far from being obvious due to the wide gap between both descriptions, even at syntactical level.

*Key words:* Functional Logic Programming, Operational Semantics, Declarative Semantics

---

## 1 Introduction

In its origin functional logic programming (FLP) did not consider non-deterministic functions (see [8] for a survey of that era). Inspired in those ancestors and in Hussmann's work [12], the CRWL framework [6,7] was proposed in 1996 as a formal basis for FLP having as main notion that of non-strict non-deterministic function with call-time choice semantics. At the operational level, modern FLP has been mostly influenced by the notions of definitional trees [2] and needed narrowing [3].

Both approaches –CRWL and needed narrowing– coexist with success in the development of FLP (see [15,9] for recent respective surveys). It is tacitly accepted in the FLP community that they essentially speak of the same ‘programming stuff’, realized by systems like Curry [11] or Toy [14], but up to now they remain technically disconnected. One of the reasons has been that the formal setting for needed narrowing is classical rewriting, which is known to be unsound for call-time choice, which requires sharing.

But recently [1] a new operational formal description of FLP has been proposed, coping with narrowing, residuation, laziness, non-determinism and sharing, for a flat language, called here FLC for its proximity to *Flat Curry* [10].

---

<sup>1</sup> This work has been partially supported by the spanish projects TIN2005-09207-C03-03 and S-0505/TIC/0407.

<sup>2</sup> Email: {fraguas,juan,jaime}@sip.ucm.es

There is a long distance in the formal aspects of the two approaches, each having its own merit: *CRWL* provides a concise and clear way for giving logical semantics to programs, with a high level of abstraction and a syntax close to the user, while *FLC* and its semantics are closer to computations and concrete implementations with details about variable bindings representation.

The goal of our work is to relate both in a technically precise manner. In this way, some known or future results obtained for one of them could be applied to the other.

The rest of the paper is organized as follows. Sections 2 and 3 present the essentials of *CRWL* and *FLC* needed to relate them. Section 4 sets some restrictions assumed in our work and gives an overview of the structure of our results. Section 5 relates *CRWL* to *CRWL<sub>FLC</sub>*, a new intermediate formal description. Section 6 is the main part of the work and studies the relation between *CRWL<sub>FLC</sub>* and *FLC*. Section 7 gives some conclusions. Proofs are mostly omitted and some of them are still under development<sup>3</sup>.

## 2 The *CRWL* Framework: a Summary

We assume a signature  $\Sigma = CS \cup FS$ , where *CS* (*FS*) is a set of constructor symbols (defined function symbols) each of them with an associated arity; we sometimes write  $CS^n$  ( $FS^n$  resp.) to denote the set of constructor (function) symbols of arity  $n$ . As usual notations write  $c, d \dots$  for constructors,  $f, g \dots$  for functions and  $x, y \dots$  for variables taken from a numerable set  $\mathcal{V}$ .

The set of expressions *Exp* is defined as usual:  $e ::= x \mid h(e_1, \dots, e_n)$ , where  $h \in CS^n \cup FS^n$  and  $e_1, \dots, e_n \in Exp$ . The set of *constructed* terms is defined analogously but with  $h$  restricted to *CS*, i.e., function symbols are not allowed. The intended meaning is that *Exp* stands for evaluable expressions while *CTerm* are data terms. We will also use the extended signature  $\Sigma_{\perp} = \Sigma \cup \{\perp\}$ , where  $\perp$  is a new constant (0-arity constructor) that stands for *undefined value*. Over this signature we build the sets  $Exp_{\perp}$  and  $CTerm_{\perp}$  in the natural way. The set  $CSubst$  ( $CSubst_{\perp}$  resp.) stands for substitutions or mappings from  $\mathcal{V}$  to  $CTerm$  ( $CTerm_{\perp}$  resp.). Both kind of substitutions will be written as  $\theta, \sigma \dots$ . The notation  $\sigma\theta$  denotes the composition of substitutions in the usual way. The notation  $\bar{o}$  stands for tuples of any of the previous syntactic constructions.

<b>(B)</b> $\frac{}{e \rightarrow \perp}$	<b>(RR)</b> $\frac{}{x \rightarrow x}$	$x \in \mathcal{V}$
<b>(DC)</b> $\frac{e_1 \rightarrow t_1 \dots e_n \rightarrow t_n}{c(e_1, \dots, e_n) \rightarrow c(t_1, \dots, t_n)}$		
<b>(DF)</b> $\frac{e_1 \rightarrow t_1\theta \dots e_n \rightarrow t_n\theta \quad e\theta \rightarrow t}{f(e_1, \dots, e_n) \rightarrow t}$		
		$c \in CS^n, t_i \in CTerm_{\perp}$
		$(f(t_1, \dots, t_n) = e) \in \mathcal{P}$ $\theta \in CSubst_{\perp}$

Fig. 1. Rules of *CRWL*

The original *CRWL* logic introduces strict equality as a built-in constraint and program-rules optionally contain a sequence of equalities as condition. In the current work, as *FLC* does not consider built-in equality, we restrict the class of programs. Then a *CRWL*-program  $\mathcal{P}$  is a

<sup>3</sup> See <http://gpd.sip.ucm.es/juanrh/fullprole06.pdf> for an extended version of this work.

set of rules of the form:  $f(\bar{t}) = e$ , where  $f \in FS^n$ ,  $\bar{t}$  is a linear (without multiple occurrences of the same variable)  $n$ -tuple of c-terms and  $e \in Exp$ .

Rules of *CRWL* (without equality) are presented in Figure 1. Rule (1) allows any expression to be undefined or not evaluated (non-strict semantics). Rule (4) is a proper reduction rule: for evaluating a function call it uses a compatible program-rule, makes the parameter passing (by means of a substitution  $\theta$ ) and then reduces the body. This logic proves *approximation* statements of the form  $e \rightarrow t$ , where  $e \in Exp_{\perp}$  and  $t \in CTerm_{\perp}$ . Given a program  $\mathcal{P}$ , the denotation of an expression  $e$  with respect to *CRWL* is defined as  $\llbracket e \rrbracket_{CRWL}^{\mathcal{P}} = \{t \mid e \rightarrow t\}$ .

### 3 The *FLC* Language and its Natural Semantics

The language *FLC* considered in [1] is a convenient –although somehow low-level– format to which functional logic programs like those of Curry or Toy can be transformed (not in a unique manner). This transformation embeds important aspects of the operational procedure of FLP languages, like are definitional trees and inductive sequentiality.

The syntax of *FLC* is given in Fig. 2. Notice that each function symbol  $f$  has exactly one definition rule  $f(x_1, \dots, x_n) = e$  with distinct variables  $x_1, \dots, x_n$  as formal parameters. All non-determinism is expressed by the use of *or* choices in right-hand sides and also all pattern matching has been moved to right-hand sides by means of nesting of (*f*)*case* expressions. *Let* bindings are a convenient way to achieve sharing.

<i>Programs:</i> $P ::= D_1 \dots D_m$	
<i>Function definitions:</i> $D ::= f(x_1, \dots, x_n) = e$	
<i>Expressions</i>	
$e ::= x$	(variable)
$c(e_1, \dots, e_n)$	(constructor call)
$f(e_1, \dots, e_n)$	(function call)
$case\ e\ of\ \{p_1 \rightarrow e_1; \dots; p_n \rightarrow e_n\}$	(rigid case)
$fcase\ e\ of\ \{p_1 \rightarrow e_1; \dots; p_n \rightarrow e_n\}$	(flexible case)
$e_1\ or\ e_2$	(disjunction)
$let\ x_1 = e_1, \dots, x_n = e_n\ in\ e$	(let binding)
<i>Patterns:</i> $p ::= c(x_1, \dots, x_n) = e$	

Fig. 2. Syntax for FLC programs

An additional *normalization step* over programs is assumed in [1]. In normalized expressions each constructor or function symbol appears applied only to distinct variables. This can be achieved via *let*-bindings. The normalization of  $e$  is written as  $e^*$ .

In [1] two operational semantics are given to *FLC*: a natural (*big-step*) semantics in the style of Launchbury’s semantics [13] for lazy evaluation (with sharing) for functional programming, and a small step semantics. *CRWL* itself being a big-step semantics, it seems more adequate to compare it to the natural semantics of [1], which is shown<sup>4</sup> in Fig. 3. It consists of a set of rules for a relation  $\Gamma : e \Downarrow \Delta : v$ , indicating that one of the possible evaluations of  $e$  ends

<sup>4</sup> Some of the rules are skipped, because they are not needed here due to some restrictions to be imposed in the next section.

up with the head normal form (variable or constructor rooted)  $v$ .  $\Gamma, \Delta$  are *heaps* consisting of bindings  $x \mapsto e$  for variables. An initial configuration has the form  $[] : e$ .

(VarCons)	$\Gamma[x \mapsto t] : x \Downarrow \Gamma[x \mapsto t] : t$	$t$ constructor-rooted
(VarExp)	$\frac{\Gamma[x \mapsto e] : e \Downarrow \Delta : v}{\Gamma[x \mapsto e] : x \Downarrow \Delta[x \mapsto v] : v}$	$e$ not constructor-rooted, $e \neq x$
(Val)	$\Gamma : v \Downarrow \Gamma : v$	$v$ constructor-rooted or variable with $\Gamma[v] = v$
(Fun)	$\frac{\Gamma : e\rho \Downarrow \Delta : v}{\Gamma : f(\overline{x_n}) \Downarrow \Delta : v}$	$f(\overline{x_n}) = e \in P$ and $\rho = \{\overline{y_n} \mapsto \overline{x_n}\}$
(Let)	$\frac{\Gamma[\overline{y_k} \mapsto e_k \rho] : e \Downarrow \Delta : v}{\Gamma : \text{let } \{\overline{x_k} = e_k\} \text{ in } e \Downarrow \Delta : v}$	$\rho = \{\overline{x_k} \mapsto \overline{y_k}\}$ and $\overline{y_k}$ are fresh variables
(Or)	$\frac{\Gamma : e_i \Downarrow \Delta : v}{\Gamma : e_1 \text{ or } e_2 \Downarrow \Delta : v}$	$i \in \{1, 2\}$
(Select)	$\frac{\Gamma : e \Downarrow \Delta : c(\overline{y_n}) \quad \Delta : e_i \rho \Downarrow \Theta : v}{\Gamma : (f) \text{ case } e \text{ of } \{\overline{p_k} \mapsto e_k\} \text{ in } e \Downarrow \Theta : v}$	$p_i = c(\overline{x_n})$ and $\rho = \{\overline{x_n} \mapsto \overline{y_n}\}$

Fig. 3. Natural Semantics for *FLC*

## 4 *CRWL* vs. *FLC*: Working Plan

In order to establish the relation between *CRWL* and *FLC* (in Section 6) firstly we adapt *CRWL* to the syntax of *FLC*. For this purpose we introduce the rewriting logic  $CRWL_{FLC}$  as a variant of *CRWL* with specific rules for managing *let*, *or* and *case* expressions.

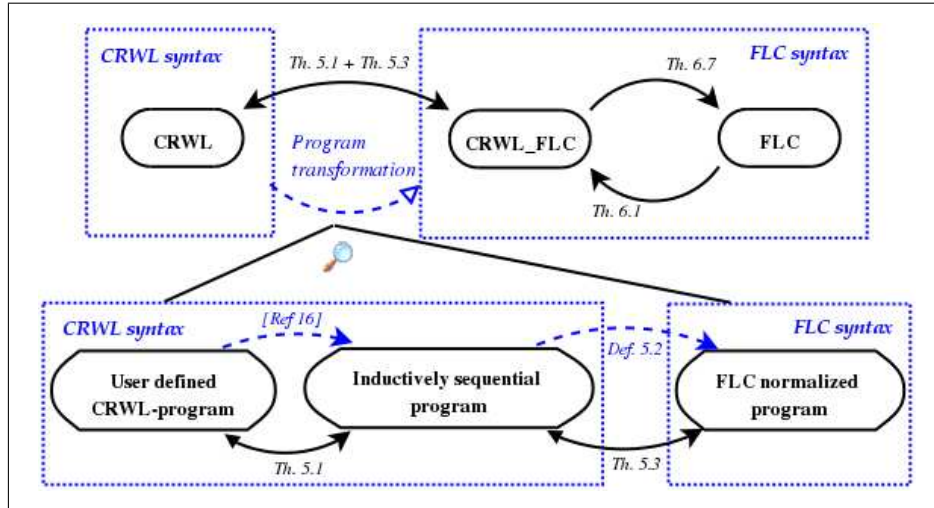


Fig. 4. Proof's plan

The relation between *CRWL* and *FLC* is established through this intermediate logic. The working plan is sketched in Figure 4. Given a pair program/expression in *CRWL* we transform them into *FLC*-syntax and study the semantic equivalence of both versions of *CRWL* (Theorems 5.1 and 5.3). Then we focus on the equivalence of *FLC* with respect to  $CRWL_{FLC}$  in a common

syntax context (Theorems 6.7 and 6.1). *FLC* and *CRWL* are very different frameworks from the syntactical and the semantical points of view. The advantage of splitting the problem is that on one hand both versions of *CRWL* are very close from the point of view of semantics; on the other hand *CRWL<sub>FLC</sub>* and *FLC* share the same syntax. The syntactic transformation and its correctness will be explained in Sect. 5.1.

There are important differences between *FLC* and *CRWL<sub>FLC</sub>* that makes not easy its relation. The heaps used in *FLC* for storing variable bindings have not any (explicit) correspondence in *CRWL*. Another important difference is that the first obtains *head normal forms* for expressions, while the second is able to obtain any value of the denotation of an expression (in particular a normal form if it exists).

Differences do not end here. There are still two important points that enforces us to take some decisions: (1) *FLC* performs narrowing while *CRWL* is a pure rewriting relation. In this paper we address this inconvenience by considering only the rewriting fragment of *FLC*. Narrowing acts in *FLC* either due to the presence of logical variables in expressions to evaluate or because of the use of extra variables in program rules (those not appearing in left-hand sides). So we can isolate the rewriting fragment by excluding this kind of variables throughout this work. (2) The other difference is due to the fact that *FLC* allows recursive *let* constructions. There is not a general consensus about the semantics of such constructions in a non-deterministic context (it is not clear if *sharing* must be done or not). Due to the divergence of opinions on the matter and for the sake of simplicity, we exclude recursive *let*'s from the language in this work. Once this decision is taken it is not difficult to see that a *let* with multiple variable bindings may be expressed as a sequence of nested *let*'s, each with a unique binding. For simplicity and without loss of generality we will consider only this kind of *let*'s.

We assume from now on that programs and expressions fulfil the conditions imposed in (1) and (2).

## 5 The proof calculus *CRWL<sub>FLC</sub>*

The rewriting logic *CRWL<sub>FLC</sub>* preserves the main features of *CRWL* from a semantical point of view, but it uses the *FLC*-syntax for expressions and programs. In particular it allows *let*, *case* and *or* constructs, but like *CRWL* it proves statements of the form  $e \rightarrow t$  where  $t \in CTerm_{\perp}$ .

Rules of *CRWL<sub>FLC</sub>* are presented in Figure 5. The first three ones (**B**), (**RR**) and (**DC**) are directly incorporated from *CRWL*. Rules (**CASE**), (**OR**) and (**LET**) has also a clear reading. Finally, rule (**DF**) is a simplified version of the corresponding in *CRWL*, as now we can guarantee that any function call in a derivation can only use c-terms as arguments. This is easy to check: the initial expression to reduce is in normalized form (arguments are all variables) and the substitutions applied by the calculus (in rules (**DF**), (**CASE**) and (**LET**)) can only introduce c-terms. Given a program  $\mathcal{P}$  the denotation of an expression  $e$  with respect to *CRWL<sub>FLC</sub>* is defined as  $\llbracket e \rrbracket_{CRWL_{FLC}}^{\mathcal{P}} = \{t \mid e \rightarrow t\}$ .

### 5.1 Relation between *CRWL<sub>FLC</sub>* and *CRWL*

We obtain here an equivalence result for *CRWL<sub>FLC</sub>* and *CRWL*. A skeleton of the proof is given in the zoomed part of Fig 4. It is based on a program transformation from *CRWL*-syntax (user syntax) to *FLC*-syntax. This translation is assumed but not made explicit in [1]. But we need here to make it more precise, since otherwise *CRWL* and *CRWL<sub>FLC</sub>* will remain technically disconnected. For technical convenience we split the transformation in two parts: first, and still

(B) $\frac{}{e \rightarrow \perp}$	(RR) $\frac{}{x \rightarrow x}$	$x \in \mathcal{V}$
(DC) $\frac{e_1 \rightarrow t_1 \dots e_n \rightarrow t_n}{c(e_1, \dots, e_n) \rightarrow c(t_1, \dots, t_n)}$		
(DF) $\frac{e\theta \rightarrow t}{f(\bar{t}) \rightarrow t} \quad (f(\bar{y}) = e) \in \mathcal{P}, \theta = [\bar{y}/\bar{t}]$		
(CASE) $\frac{e \rightarrow c(\bar{t}) \quad e_i\theta \rightarrow t}{\text{case } e \text{ of } \{\bar{p}_i \rightarrow e_i\} \rightarrow t} \quad \begin{array}{l} p_i = c(\bar{x}) \text{ for some } i \\ \theta = [\bar{y}/\bar{t}] \end{array}$		
(OR) $\frac{e_i \rightarrow t}{e_1 \text{ or } e_2 \rightarrow t} \quad \text{for some } i \in \{1, 2\}$		
(LET) $\frac{e' \rightarrow t' \quad e[x/t'] \rightarrow t}{\text{let } \{x = e'\} \text{ in } e \rightarrow t}$		

Fig. 5. Rules of  $CRWL_{FLC}$ 

within  $CRWL$ -syntax, we transform  $P$  into another program  $P'$  which is *inductively sequential* ([2,9]), except for a function *or*<sup>5</sup>, defined by the two rules  $X \text{ or } Y = X$  and  $X \text{ or } Y = Y$ . The function *or* concentrates all the non-sequentiality (hence, all the indeterminism) of functions in right-hand sides. We speak of ‘inductively sequential with *or* ( $IS_{or}$ ) programs’. Alternatively, programs can be transformed into overlapping inductively sequential format (see [9]), where a function might have several rules with the same left-hand side (as happens with the rules of *or*). Both formats are easily interchangeable. Such kind of transformations are well-known in functional logic programming. In the  $CRWL$  setting, a particular transformation has been proposed in [16], where it is proved the following result:

**Theorem 5.1** *Let  $P$  be a  $CRWL$ -program and  $e$  an expression.*

*Then  $\llbracket e \rrbracket_{CRWL}^P = \llbracket e \rrbracket_{CRWL}^{P'}$  where  $P'$  is the  $IS_{or}$  transformed program of  $P$ .*

Now, to transform  $IS_{or}$  programs into (normalized)  $FLC$ -syntax is not difficult, by simply mimicking the inductive structure of function definitions by means of (possibly nested) *case* expressions. The following algorithm performs it.

**Definition 5.2** [*FLC-transformation*] *Let  $P$  be an  $IS_{or}$   $CRWL$ -program.*

**A) Transformation of sets of rules.** Let  $\mathcal{Q} = \{(f(\bar{t}_1) \rightarrow e_1), \dots, (f(\bar{t}_n) \rightarrow e_n)\}$  be a set of rules for a function  $f$  in  $P$  ( $\mathcal{Q} \subseteq \mathcal{P}_f$ ) and  $f(\bar{s})$  a pattern compatible with  $\mathcal{Q}$  (i.e., it subsumes the left-hand side of all the rules in  $\mathcal{Q}$ ). The expression  $\Delta(\mathcal{Q}, f(\bar{s}))$  is defined according to the following (exhaustive, due to inductive sequentiality) possibilities:

- (i) **There is an inductive position** (if several, choose any) in  $f(\bar{s})$  wrt  $\mathcal{Q}$ , i.e., a position  $u$  occupied by a variable  $X$  in  $(f(\bar{s}))$  and by constructor symbols  $c_1, \dots, c_k$  in the left-hand sides of rules of  $\mathcal{Q}$ . For each  $i \in \{1, \dots, k\}$  we write  $\mathcal{Q}_{c_i}$  for the set of rules in  $\mathcal{Q}$  having the constructor  $c_i$  at position  $u$ , and  $\bar{s}_{c_i}$  for  $\bar{s}[X/c_i(\bar{Y})]$ , where  $\bar{Y}$  are fresh variables. Then

<sup>5</sup> Not to be confused with boolean disjunction; *or* is written as // in Toy and ? in Curry.

- $\Delta(\mathcal{Q}, f(\bar{s})) = \text{case } X \text{ of } \{c_1 \rightarrow \Delta(\mathcal{Q}_{c_1}, f(\bar{s}_{c_1})); \dots; c_k \rightarrow \Delta(\mathcal{Q}_{c_k}, f(\bar{s}_{c_k}))\}$
- (ii) There is **no inductive position** in  $f(\bar{s})$  wrt  $\mathcal{Q}$ . It should be the case that  $\mathcal{Q} = \{f(\bar{s}) = e\}$ . Then:  $\Delta(\mathcal{Q}, f(\bar{s})) = e^*$ , where  $e^*$  is the normalization of  $e$  (see sect. 3).

**B) Transformation of whole programs.** The (normalized) *FLC*-transformation of  $P$  is

$$\hat{P} = \bigcup_{f \in FS} \{f(\bar{X}) = \Delta(\mathcal{P}_f, f(\bar{X}))\}$$

An example of the two program transformation steps (first to *IS<sub>or</sub>*, then to *FLC*) is given in Fig. 6. Notice that the final *FLC*-program does not contain rules for *or*, since it is included in the syntax of *FLC*, and there is a specific rule governing its semantics in the *CRWL<sub>FLC</sub>*-calculus.

<p><i>Constructor symbols:</i> <math>0 \in CS^0, s \in CS^1</math></p> <p><i>Source CRWL-program</i></p> <p><math>f(0, Y) = s(Y)</math></p> <p><math>f(X, 0) = X</math></p> <p><math>f(s(X), s(Y)) = s(f(X, Y))</math></p> <p><i>Transformed normalized FLC-program</i></p> <p><math>f(X, Y) = f_1(X, Y) \text{ or } f_2(X, Y)</math></p> <p><math>f_1(X, Y) = \text{case } X \text{ of } \{ 0 \rightarrow s(Y);</math>  <math>\quad s(X_1) \rightarrow \text{case } Y \text{ of } \{ s(Y_1) \rightarrow \text{let } U=f(X_1, Y_1)</math>  <math>\quad \quad \quad \text{in } s(U) \} \}</math></p> <p><math>f_2(X, Y) = \text{case } Y \text{ of } \{ 0 \rightarrow X \}</math></p>	<p><i>Transformed IS<sub>or</sub> CRWL-program</i></p> <p><math>f(X, Y) = f_1(X, Y) \text{ or } f_2(X, Y)</math></p> <p><math>f_1(0, Y) = s(Y)</math></p> <p><math>f_1(s(X), s(Y)) = s(f(X, Y))</math></p> <p><math>f_2(X, 0) = X</math></p> <p><math>X \text{ or } Y = X \quad X \text{ or } Y = Y</math></p>
---	--

Fig. 6. Transformation from *CRWL* to *FLC* syntax

The following equivalence result states the correctness of the transformation.

**Theorem 5.3** *Let  $P$  be an IS CRWL-program and,  $e$  an CRWL-expression, and  $\hat{P}, \hat{e}$  their FLC-transformations. Then  $\llbracket e \rrbracket_{CRWL}^P = \llbracket \hat{e} \rrbracket_{CRWL_{FLC}}^{\hat{P}}$ .*

## 6 Relation between *CRWL<sub>FLC</sub>* and *FLC*

We need some more technical preliminaries and notations:

- $dom(\Gamma)$ : The set of variables bound in the heap  $\Gamma$ .
- $var(e)$ : The set of free variables in the expression  $e$ .
- *Valid heap*: A heap  $\Gamma$  is valid if  $\llbracket \cdot \rrbracket : e \Downarrow \Gamma : v$  for some  $e, v$ , i.e.,  $\Gamma$  is reachable in a computation.
- $ligs(\Gamma, e)$ : The bindings of a valid heap  $\Gamma$  can be ordered in a way such that  $\Gamma = [x_1 \mapsto e_1, \dots, x_n \mapsto e_n]$  where each  $e_i$  does not depend on  $x_j$  iff  $j \geq i$ . That is because recursive bindings are forbidden. Then we define  $ligs([x_1 \mapsto e_1, \dots, x_n \mapsto e_n], e) =_{def} \text{let } \{x_1 = e_1\} \text{ in } \dots \text{let } \{x_n = e_n\} \text{ in } e$ .
- $\llbracket \Gamma, e \rrbracket$ : Expresses the set of terms we can reach in *CRWL<sub>FLC</sub>*, applying a heap to an expression. Formally,  $\llbracket \Gamma, e \rrbracket =_{def} \llbracket ligs(\Gamma, e) \rrbracket_{CRWL_{FLC}} = \{t \mid ligs(\Gamma, e) \rightarrow t\}$ .
- $norm2(e)$ : If  $e^* = \text{let } \{x_1 = e_1\} \text{ in } \dots \text{in let } \{x_n = e_n\} \text{ in } e'$ , then  $norm2(e) = ([x_1 \mapsto e_1, \dots, x_n \mapsto e_n], e')$ . It is a kind of reverse of *ligs*.

### 6.1 Completeness of $CRWL_{FLC}$ wrt $FLC$

Our **main result** concerning the completeness of  $CRWL_{FLC}$  with respect to  $FLC$  is:

**Theorem 6.1 (From  $FLC$  to  $CRWL_{FLC}$ )** *If  $\Gamma : e \Downarrow \Delta : v$ , then  $\llbracket \Delta, v \rrbracket \subseteq \llbracket \Gamma, e \rrbracket$ .*

Before proving it we must formulate some auxiliary results.

**Lemma 6.2** *If  $\llbracket \Delta, x \rrbracket \subseteq \llbracket \Gamma, x \rrbracket$ , for all  $x \in \text{var}(e)$ , then  $\llbracket \Delta, e \rrbracket \subseteq \llbracket \Gamma, e \rrbracket$ .*

**Theorem 6.3** *If  $\Gamma : e \Downarrow \Delta : v$ , then:*

(H)  $\llbracket \Delta, x \rrbracket \subseteq \llbracket \Gamma, x \rrbracket$ , for all  $x \in \text{dom}(\Gamma)$ .

(R)  $\llbracket \Delta, v \rrbracket \subseteq \llbracket \Delta, e \rrbracket$ .

The property (H) tells us what happens with heaps, while (R) relates the results of the computation. The following Corollary is an immediate consequence of Lemma 6.2 and (H).

**Corollary 6.4 (H')** *If  $\Gamma : e \Downarrow \Delta : v$ , then  $\llbracket \Delta, e \rrbracket \subseteq \llbracket \Gamma, e \rrbracket$ , for all  $e$  with  $\text{var}(e) \subseteq \text{dom}(\Gamma)$ .*

Now the proof of Theorem 6.1 becomes easy:

**Proof.** (Theorem 6.1)

Assume  $\Gamma : e \Downarrow \Delta : v$ . Then, by property (R) of Theorem 6.3 we have  $\llbracket \Delta, v \rrbracket \subseteq \llbracket \Delta, e \rrbracket$ , and by Corollary 6.4 (H') we have  $\llbracket \Delta, e \rrbracket \subseteq \llbracket \Gamma, e \rrbracket$ , because it must happen  $\text{var}(e) \subseteq \text{dom}(\Gamma)$ , because the  $FLC$ -derivation has succeeded. But then  $\llbracket \Delta, v \rrbracket \subseteq \llbracket \Gamma, e \rrbracket$ .  $\square$

Some additional conclusions can be extracted from Theorem 6.1, but to explain them we must introduce the concept of **shell** of an expression in a heap, which is defined in Figure 7.

$$\boxed{
 \begin{array}{l}
 |\Gamma : x| = \begin{cases} x & \text{if } \Gamma[x] = x \\ c(|\Gamma : x_1|, \dots, |\Gamma : x_n|) & \text{if } \Gamma[x] = c(x_1, \dots, x_n) \\ \perp & \text{in other case} \end{cases} \\
 |\Gamma : c(x_1, \dots, x_n)| = c(|\Gamma : x_1|, \dots, |\Gamma : x_n|) \quad |\Gamma : e| = \perp \text{ in other case}
 \end{array}
 }$$

Fig. 7. Shell of an expression in a heap

We can prove the following results involving shells:

**Lemma 6.5** *If  $\Gamma : e \Downarrow \Delta : v$ , then  $|\Delta : v| \in \llbracket \Delta, v \rrbracket$ .*

**Corollary 6.6** *If  $\Gamma : e \Downarrow \Delta : v$ , then  $|\Delta : v| \in \llbracket \Gamma, e \rrbracket$ .*

**Proof.** Assume  $\Gamma : e \Downarrow \Delta : v$ . Then by Lemma 6.5 we have  $|\Delta : v| \in \llbracket \Delta, v \rrbracket$  and by Theorem 6.1 we have  $\llbracket \Delta, v \rrbracket \subseteq \llbracket \Gamma, e \rrbracket$ , so  $|\Delta : v| \in \llbracket \Gamma, e \rrbracket$ .  $\square$

### 6.2 Completeness of $FLC$ wrt $CRWL_{FLC}$

Our **main result** concerning the completeness of  $FLC$  with respect to  $CRWL_{FLC}$  is:

**Theorem 6.7 (From  $CRWL_{FLC}$  to  $FLC$ )** *If  $e \rightarrow c(t_1, \dots, t_n)$  and  $(\Gamma, e') = \text{norm2}(e)$ , then  $\Gamma : e' \Downarrow \Delta : c(x_1, \dots, x_n)$ , for some  $x_1, \dots, x_n$  verifying  $\text{ligs}(\Delta, x_i) \rightarrow t_i$  for each  $i \in \{1, \dots, n\}$ .*

$\text{(Var}^*) \frac{\Gamma : e \Downarrow \Delta : x}{\Gamma : e \Downarrow^* \Delta : x}$	$\text{(RR}^*) \frac{}{\Gamma : x \Downarrow^* \Gamma : x}$	
$\text{(Cons}^*) \frac{\Gamma : e \Downarrow \Delta : c(x_1, \dots, x_n) \quad \Delta_i : x_i \Downarrow^* \Delta_{i+1} : t_i}{\Gamma : e \Downarrow^* \Delta_{n+1} : c(t_1, \dots, t_n)}$		$i \in \{1, \dots, n\}$  $\Delta_1 = \Delta$

Fig. 8. Rules of  $\Downarrow^*$ 

On the other hand we could follow an alternative approach consisting on defining a new relation based on the  $\Downarrow$  relation of *FLC*, that evaluates expressions beyond head normal forms. We call this relation  $\Downarrow^*$  and define it in Figure 8. This new relation has several interesting properties:

- $\Downarrow \subseteq \Downarrow^*$ , because for any FLC-derivation of the form  $\Gamma : e \Downarrow \Delta : v$  if  $v$  is a variable then  $\Gamma : e \Downarrow^* \Delta : v$  by the rule  $\text{Var}^*$ , and if  $v = c(x_1, \dots, x_n)$  then  $\Gamma : e \Downarrow^* \Delta : c(x_1, \dots, x_n)$  applying  $\text{Cons}^*$  and  $\text{RR}^*$  for the premises.
- $\forall \Gamma, t$  such that  $t$  is a normalized *Cterm* and  $\Gamma$  is a valid heap, it happens  $\Gamma : t \Downarrow^* \Gamma : t$ . We can get this applying  $\text{Cons}^*$  and  $\text{RR}^*$  for the premises.

Now we can formulate an alternative theorem using this new relation:

**Theorem 6.8 (From  $CRWL_{FLC}$  to *FLC*, alternative version)** *If  $e \rightarrow c(t_1, \dots, t_n)$ , then  $\Gamma : e' \Downarrow^* \Delta : c(t_1, \dots, t_n)$ , where  $(\Gamma, e') = \text{norm2}(e)$*

The proofs for these theorems are still under development.

## 7 Conclusions and Future Work

In this paper we study the relationship between *CRWL* [6,7] and *FLC* [1], two formal semantical descriptions of first order functional logic programming with call-time choice semantics for non-deterministic functions. The long distance between these two settings, even at syntactical level, discourages any direct proof of equivalence. Instead, we have chosen *FLC* as common language, to which *CRWL* can be adapted by means of a program transformation and a new  $CRWL_{FLC}$  proof calculus for the resulting *FLC*-programs. The program transformation itself is not very novel, although its formulation here is original, but the  $CRWL_{FLC}$  calculus and its relation to the original are indeed novel and could be useful for future works.

The most important and involved part of the paper establishes the relation between the  $CRWL_{FLC}$  logic and the natural semantics given to *FLC* in [1]. We give an equivalence result for ground expressions and for the class of *FLC*-programs not having recursive *let* bindings nor extra variables. This is not so restrictive as it could seem: it has been proved [5,4] that extra variables can be eliminated from programs, and recursive *let*'s do not appear in the translation to *FLC*-syntax of *CRWL*-programs. Still, dropping such restrictions is desirable, and we hope to do it in the next future.

We did not expect proofs to be easy. Despite of that, we are a bit surprised by the great difficulties we have encountered, even with the imposed restrictions over expressions and programs. This suggest to look for new insights, not only at the level of the proofs but also in the sense of finding new alternative semantical descriptions of functional logic programs.

## References

- [1] E. Albert, M. Hanus, F. Huch, J. Oliver, and G. Vidal. Operational semantics for declarative multi-paradigm languages. *Journal of Symbolic Computation*, 40(1):795–829, 2005.
- [2] S. Antoy. Definitional trees. In *Proc. 13th Algebraic and Logic Programming*, pages 143–157. Springer LNCS 632, 1992.
- [3] S. Antoy, R. Echahed, and M. Hanus. A needed narrowing strategy. In *Proc. ACM Symposium on Principles of Programming Languages*, pages 268–279, Portland, 1994.
- [4] S. Antoy and M. Hanus. Overlapping rules and logic variables in functional logic programs. Technical report, Christian-Albrechts-Universität Kiel, 2005.
- [5] J. de Dios Castro. Eliminación de variables extra en programación lógico-funcional. Master’s thesis, DSIP-UCM, May 2005.
- [6] J. González-Moreno, T. Hortalá-González, F. López-Fraguas, and M. Rodríguez-Artalejo. A rewriting logic for declarative programming. In *Proc. European Symposium on Programming (ESOP’96)*, pages 156–172. Springer LNCS 1058, 1996.
- [7] J. González-Moreno, T. Hortalá-González, F. López-Fraguas, and M. Rodríguez-Artalejo. An approach to declarative programming based on a rewriting logic. *Journal of Logic Programming*, 40(1):47–87, 1999.
- [8] M. Hanus. The integration of functions into logic programming: A survey. *Journal of Logic Programming*, 19-20:583–628, 1994. Special issue “Ten Years of Logic Programming”.
- [9] M. Hanus. Functional logic programming: From theory to Curry. Technical report, Christian-Albrechts-Universität Kiel, 2005.
- [10] M. Hanus and C. Prehofer. Higher-order narrowing with definitional trees. *J. Funct. Program.*, 9(1):33–75, 1999.
- [11] M. Hanus (ed.). Curry: An integrated functional logic language (version 0.8.2). Available at <http://www.informatik.uni-kiel.de/~curry/report.html>, March 2006.
- [12] H. Hussmann. Nondeterministic algebraic specifications and nonconfluent term rewriting. *Journal of Logic Programming*, 12:237–255, 1992.
- [13] J. Launchbury. A natural semantics for lazy evaluation. In *POPL*, pages 144–154, 1993.
- [14] F. López-Fraguas and J. Sánchez-Hernández. *TOY*: A multiparadigm declarative system. In *Proc. Rewriting Techniques and Applications (RTA’99)*, pages 244–247. Springer LNCS 1631, 1999.
- [15] M. Rodríguez-Artalejo. Functional and constraint logic programming. In *Revised Lectures of the International Summer School CCL’99*, chapter 5, pages 202–270. Springer LNCS 2002, 2001.
- [16] J. Sánchez-Hernández. *Una aproximación al fallo constructivo en programación declarativa multiparadigma*. PhD thesis, DSIP-UCM, June 2004.